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	Attorney Docket Number	AP875US	
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	First Named Inventor	JETTE, Stéphanie Marie-Julie	
	COMPLETE IF KNOWN		
	Application Number		
	Filing Date		
□ Declaration     □ Declaration     Submitted OR Submitted after Initial     □ Declaration     □ Declaration	Group Art Unit		
with Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name		

As a below named inventor, I hereby declare that:					
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.					
OPTICAL WAVEGUIDE FILTERS					
(T	itle of the Invention)		-		
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	as United S	itates Application I	Number or PCT II	nternational	
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was filed on (MM/DD/YYYY) (if applicable).  Application Number and was amended on (MM/DD/YYYY)					
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.					
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filling date of the prior application and the national or PCT international filing date of the continuation-in-part application.					
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a fiting date before that of the application on which priority is claimed.					
Country			Certified Cop YES		
International Canada Canada	09/20/200	4 📙	0000		
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:					
		Additional numbers supplem	al provisional app s are listed on a lental priority data	sheet	
	and was a d and understand the cordination which became a continuation-in-part application also identified below, al application having a formation which became a continuation-in-part application also identified below, al application having a formation also identified below, al application having a formation also identified below, al application having a formational canada canada canada canada sumbers are listed on a filing Dat	and was amended on (MM/DD/YYY)  International Canada (MM/DD/YYYY)  International (MM/DD/YYYY)	and was amended on (MM/DD/YYYY)  and understand the contents of the above identified specification which became available between the filling date of the prior of continuation-in-part application.  Terms are listed on a supplemental priority data sheet PTO/SB (MM/DD/YYYY)  Canada (09/20/2000)  Canada (09/20/2000)  Terms are listed on a supplemental priority data sheet PTO/SB (MM/DD/YYYY)  Addition numbers are listed (MM/DD/YYYY)  Addition numbers supplemental priority data specification(s)  Filing Date (MM/DD/YYYY)  Addition numbers supplemental priority data specification(s)  Filing Date (MM/DD/YYYY)  Addition numbers supplemental priority data sheet PTO/SB (MM/DD/YYYY)  Addition numbers supplemental priority data specification(s)  Filing Date (MM/DD/YYYY)  Addition numbers supplemental priority data specification(s)	dictizenship are as stated below next to my name.  sole Inventor (if only one name is ilsted below) or an original, first and joint Inventor, ject matter which is claimed and for which a patent is sought on the invention entity. TERS   (Tritle of the Invention)  as United States Application Number or PCT III  and was amended on (MM/DD/YYYY)  d and understand the contents of the above identified specification, including the critically referred to above.  e information which is material to patentability as defined in 37 CFR 1.56, including mation which became available between the filling date of the prior application and continuation-in-part application.  refits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent also identified below, by checking the box, any foreign application for patent all application having a filing date before that of the application on which priority is a country.  Foreign Filling Date (MM/DD/YYYY)  International 12/22/2000 Priority Certified Cop YES  International 12/22/2000 Canada 09/20/2000 Canada 09/20/2000 Canada 09/20/2000 Canada O7/31/2000 Canada O7/31/20	

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## **DECLARATION** — Utility or Design Patent Application

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Address	P.O. Box 11100, Statio	on H					
Address							
City	Ottawa				Ontario State	)	K2H 7T8 ZIP
Country	Canada		Telephone		828 001	2	(613) 828 0024 Fax
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME O	F SOLE OR FIRST INV	/ENTOR:			A petitio	n has been fil	led for this unsigned inventor
Given Name Stéphanie Marie-Julie Family Name JETTE (first and middle [if any])							
Inventor's Signature							
Residence:	Kanata	V		Ontario State		anada	Canadian Citizenship
Malling Add	Malling Address						
Mailing Add	87 Woodbridge C	Crescent					
city Kanat	a	Ontari State	10		K2N ZIP	M 2N7	Canada Country
NAME OF	F SECOND INVENTOR				A petition	n has been fil	led for this unsigned inventor
Given Name (first and m	e Pierre Simo	in Joseph			Family Nu	<sub>ame</sub> Berini me	
inventor's Signature							
Residence:	city Ottawa			Onta State	1	anada Country	Canadian Citizenship
Malling Add	dress 120 Holland Aven	ue, Unit 3B					Ottoorionip
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	Filing Date	
	First Named Inventor	JETTE, Stéphanie Marie-Julie
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	AP875US

I hereby appoint:			,	
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	Name		Registration	Number
Thomas Ada	ams		31078	
Lynn S. Cas			32378	
P. Scott Mad	clean		39543	
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City	Ottawa	Ct-t-	Ontario	Zip K2H 7T8
Country	Canada	State		ZIB (KZITTTO
Telephone	(613) 828 0012	Fax	(613) 828 0024	
l am the:				
Applicant/Invento	or			
Assignee of reco	ord of the entire interest. See 37 CF - 37 CFR 3.73(b) is enclosed. (Form	FR 3.71. m <i>PTO/SB</i>	/96).	
	SIGNATURE of Applicant or As	signee of	Record	
Name Pierre S	Simon Joseph BERINI			
Signature	lan, Jon		<del></del>	
Date	26 the 200)			
NOTE: Signatures of all the inventorms if more than one signature:	tors or assigneds of record of the entire into	erest or their	representative(s) a	re required. Submit multiple
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Application Number	
Filing Date	
First Named Inventor	JETTE, Stéphanie Marie-Julie
Group Art Unit	
Examiner Name	
Attorney Docket Number	AP875US

Depotition and of Contament Norman	
OR  Practitioner(s) named below:	Customer r Bar Code ere
Name Registration Number	er
Thomas Adams 31078	
Lynn S. Cassan 32378	
P. Scott Maclean 39543	
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I am the:  Applicant/Inventor.  Assignee of record of the entire interest. See 37 CFR 3.71.	
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).	:
SIGNATURE of Applicant or Assignee of Record	
Stéphanie Marie-Julie JETTE	
Name	
Signature Stephanie, Att.	
Signature Stephanie Auth.  Date 26 Out. 2001	
Signature Stephania Jutte!	ired. Submit multiple

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## **ASSIGNMENT**

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In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, each undersigned inventor does hereby confirm his/her sale, assignment, transfer and setting over, and does hereby sell assign, transfer and set over to:

3849988 Canada Inc.

(Assignee),

whose full post office address is:

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Faculty of Engineering - SITE
Colonel By Hall, Room B207
P.O. Box 450, Station A
Ottawa, Ontario
Canada K1N 6N5

its successors, assigns and legal representatives, his/her entire right, title and interest, including priority rights, for all countries in and to certain inventions as fully set forth and described in United States provisional patent application entitled "Optical Waveguide Filters" filed June 22, 2001, and in a United States patent application and a corresponding International patent application both executed contemporaneously herewith, together with his/her entire right, title and interest in and to any and all Letters Patent which may issue or be re-issued for said inventions to the full end of the term for which each said Letters Patent may be granted:

AND hereby authorizes the issuance to said Assignee of any and all said Letters Patent not already issued as the assignee of his/her entire right, title and interest in and to the same, for the sole use and benefit of said assignee, its successors, assigns or legal representatives:

AND on behalf of himself/herself and his/her executors and administrators, hereby covenants and agrees to do all such lawful acts and things and to execute without further consideration such further lawful assignments, documents, assurances, applications, and other instruments as may reasonably be required by said Assignee, its successors, assigns or legal representatives, to obtain any and all said Letters Patent, in any country, for said invention and vest the same in said Assignee, its successors, assigns or legal representatives.

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The undersigned hereby grants the firm Adams Cassan Maclean the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of a patent office for recordation of this Assignment.

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2001

Witness' Signature

Christine Cardarelli

Christine Cardarelli

Christine Cardarelli

INVENTOR 2 Pierre Simon Joseph Berini